

OKLAHOMA HIGHWAY SAFETY OFFICE
Pre-Award Risk Assessment



Applicant agency: _____ DUNS #: _____
 Project Description: _____
 IntelliGrants Application Name: _____
 Federal Fiscal Year: _____ Review Date: _____
 Completed by: _____

- | | Yes | No | N/A |
|--|-----|-----|-----|
| 1. Determine if the applicant has experience with same or similar sub-awards. | | | |
| a. Has the applicant previously had Federal or State grants similar to this award? | ___ | ___ | |
| b. Has the applicant had at least 3 year's experience with Federal grants? | ___ | ___ | |
| 2. Determine the results of previous audits. | | | |
| a. Did the applicant receive a single audit in accordance with 2 CFR part 200.501 Subpart F? | ___ | ___ | |
| b. Was the same or similar sub-award audited last fiscal year? | ___ | ___ | ___ |
| c. Was the audit opinion unqualified?* | ___ | ___ | ___ |
| <i>(*No response signifies automatic medium or high risk)</i> | | | |
| 3. Determine if the applicant has new personnel, or new or substantially changed systems? | | | |
| a. Has the applicant staff remained essentially unchanged during the prior year? | ___ | ___ | |
| b. Has the applicant organization remained unchanged during the prior year? | ___ | ___ | |
| c. Is the applicants accounting system the same as the prior year? | ___ | ___ | |
| 4. Determine the extent and results of Federal awarding agency monitoring (if the applicant has received a direct Federal grant within the preceding 3 years) | | | |
| a. Did the applicant receive monitoring from a federal awarding agency? | ___ | ___ | ___ |
| b. Did the federal agency determine that there were no financial or compliance issues? | ___ | ___ | ___ |
| 5. Determine if the subgrantee has been consistently on time and accurate in the submission of the following: | | | |
| a. Progress Reports | ___ | ___ | ___ |
| b. Fiscal Reporting | ___ | ___ | ___ |
| c. Change requests/revisions (if applicable) | ___ | ___ | ___ |
| d. Evaluation summary | ___ | ___ | ___ |

Total No responses 0

RATING SCALE

0-4 No responses	Low
5-9 No responses	Medium
10-14 No responses	High

RATING ASSIGNED= _____