Risk Assessment- Funding Proposal Review

Funds applied for: 402 [ ] , 405b [ ] , 405c [ ] , 405d [ ] , 405f [ ]  Requested Amount $

Agency Name:

Agency Type: City PE\* [ ] , County PE [ ] , State PE [ ]  , LE [ ]  , University [ ] , Health [ ] , Media [ ] , Other [ ]

Size of organization (if LE agency): Number of sworn officers:       Traffic Unit: Yes [ ]  No [ ]

Is the applicant a current or prior grantee? Yes [ ]  No [ ]  If yes, provide most recent grant information:

 Contract number:       Contract Dollar Amount: $

Submitted on-time reports: Majority (80-100%) of the time: [ ]  Most (50-80%) of the time: [ ] , Sometimes: 50 %< [ ]

Accurate/complete claims: Majority (80-100%) of the time: [ ]  Most (50-80%) of the time: [ ] , Sometimes: 50 %< [ ]

Any major negative findings during a site visit(s): Yes [ ]  No [ ] . Details:

Has the agency had any audit findings related to GTSB funds? Yes [ ]  No [ ]  Details:

Has the agency been suspended/disbarred? Check at: [https://www.sam.gov/portal/SAM/##11](https://www.sam.gov/portal/SAM/) Yes [ ]  (provide details) No [ ]

Agency’s DUNS (Data Universal Numbering System- for credit reporting) Check at: <https://www.sam.gov/>

DUNS number:

Any unresolved contractual issues? Yes [ ]  (Provide details) No [ ]

Public awareness efforts: Yes [ ]  No [ ]  Earned media Yes [ ]  No [ ]  (If yes, provide details.)

Level at which program objectives are being met (if current contractor):

% enforcement OT hours used to date:       % Impaired contacts to date:       % Seatbelt contacts to date:

Prior FFY funds utilized:      %, If not 100% provide details; Current FFY funds utilized:      %

GTSB-funded equipment purchased in the last 5 years (attach list if yes): Yes [ ]  No [ ]

Equipment >$5K purchased or disposed of IAW NHTSA policy? Yes [ ]  No [ ]  (If no provide details)

Does the agency have a new GTSB contact? Yes [ ]  No [ ] . Is guidance/training needed? Yes [ ]  No [ ]

Overall Risk: (check appropriate level)

Low Risk: (On time reports; Active Participation 75-100%; Minimal Errors, etc.) [ ]

Medium Risk: (Generally on time reports; 50-75% Participation; Some errors, etc.) [ ]

High Risk: (Continually late reports; 0-50% Participation; Continuous errors, etc.) [ ]

**(Explain if Medium or High Risk)**

PA Remarks:

Is the agency’s proposal recommended for funding? Yes [ ]  at $       ; No [ ]

PA Signature       Date:       \*PE- Public Entity

GTSB Form 45 – Risk Assessment