

RISK ASSESSMENT

ORGANIZATION:

FISCAL YEAR: 2021

Determine if the agency is a new grantee
Is this agency a new grantee to MHSO? No
Note: If the agency is a new grantee for MHSO, the grantee is automatically a Medium Risk

1. Determine if the grantee had prior experience with same or similar sub-awards	
a. Has the grantee had federal grants similar to this award? Comment: Prior MHSO Grants	b. Has the grantee had experience with federal grants? Yes Comment: Prior MHSO Grants

2. Determine the results of previous single audits	
a. Did the grantee receive a single audit in accordance with 2 CFR Part 200 Subpart F? No Comment:	b. Was the same or similar sub award audited last year? N/A Comment:
c. Was the audit free from finding(s) within the Highway Safety program? (If No to this question, the agency is automatically a Medium or High risk) N/A Comment: No Audit	

3. Determine if the grantee has new personnel or new or substantially changed systems	
a. Has the grantee's staff that provides direct oversight of the grant remained unchanged during the previous year? (Please enter Yes, if one of the following has not changed - Project Coordinator, Project Director and/or Financial Administrator. Please enter No, if it is a New Grantee or if one of the individuals listed above has changed) No Comment: Project Coordinator	b. Is the grantee financial system the same as previous year? Yes Comment:

4. Determine the extent and results of Federal awarding agency monitoring (e.g. if the grantee also receives federal awards directly from a federal awarding agency)	
a. Does the grantee receive monitoring from a federal awarding agency ? No Comment:	b. Did the federal agency determine that there were no financial or compliance issues? Yes Comment:

5. Determine if the grantee has been consistently on time and/or accurate in the submission of the following during the previous FFY:		
a. Grant Proposal Yes	b. Progress Reports Yes	c. Quarterly Yes
Comment:		

RATING SCALE - This will be calculated based on the answers to the questions above	
Current Rating: Low Risk Created By: GPS User on 02/11/2020 Last Modified By: GPS User on 04/08/2020	Low (0-4 No's) - Grantee is considered low risk for monitoring Medium (5-8 No's) - Grantee is considered medium risk for monitoring High (9-11 No's) - Grantee is considered high risk for monitoring

ACTION	RISK RATING	REASON FOR UPDATE
Standard monitoring - including phone calls, emails and onsite meeting with grantee	Low	
Reviewing quarterly progress reports	Low	
Require 100% documentation for reimbursement	Low	
Suspension or cancellation of Project in full or in partial	Low	
Schedule a formal monitoring (Formal monitoring includes standard monitoring strategies, in depth on-site monitoring which is based on complexity of program and funding amount)	Low	
Provide training and project assistance on program related matter	Low	
Review the corrective actions and determine if they followed up on the corrective actions.	Low	